



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. WALKER et al.)
)
For: METHOD AND APPARATUS FOR)
PROVIDING CROSS-BENEFITS)
VIA A CENTRAL AUTHORITY) Examiner: Not Assigned
)
)
Serial No.: 09/274,281) Group Art Unit: 2761
)
)
Filing Date: March 22, 1999) Docket No.: WD2-99-006

Assistant Commissioner for Patents
Washington, D.C. 20231
Attn: Application Processing Division's Customer
Correction Branch

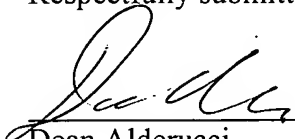
TRANSMITTAL LETTER

Applicants hereby submit the following documents for the above-identified patent application:

1. Request for Corrected Filing Receipt;
2. Copy of Application Transmittal Letter;
3. Red-lined Copy of Official Filing Receipt; and
4. Postcard.

Respectfully submitted,

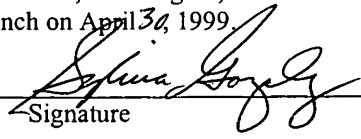
April 30, 1999
Date


Dean Alderucci
Attorney for Applicants
PTO Reg. No. 40,484
Walker Digital Corporation
Five High Ridge Park
Stamford, CT 06905

Certificate of Mailing

I hereby certify that this correspondence is being sent via first class mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 Attn: Application Processing Division's Customer Correction Branch on April 30, 1999.

Sylvia Gonzalez
Typed Name of Person Making
Deposit


Signature

04/30/99
Date



Receipt #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. Walker et al.

For: METHOD AND APPARATUS FOR
PROVIDING CROSS-BENEFITS
VIA A CENTRAL AUTHORITY

Serial No.: 09/274,281

Filing Date: March 22, 1999

Assistant Commissioner for Patents
Washington, D.C. 20231

Attn: Application Processing Division's Customer Correction Branch

Examiner: Not Assigned

Group Art Unit: 2761

Docket No.: WD2-99-006

RECEIVED
99 MAY 17 AM 7:22
GROUP 2700

REQUEST FOR CORRECTED FILING RECEIPT

Applicants respectfully request that the filing receipt for the above-identified patent application be corrected as follows:

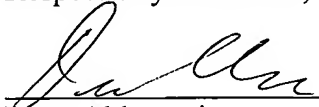
- 1) The continuing data be corrected from "THIS APPLN IS A CIP OF 09/219,267 filed December 23, 1998 which is a CIP OF 08/943,483 filed October 3, 1997 which is a CIP of 08/923,683 filed September 4, 1997 which is a CIP of 08/889,319 filed July 8, 1997 which is a CIP OF 5,794,207 issued August 11, 1998 which is a CIP of 09/100,684 filed June 19, 1998 which is a CIP of 08/982,149 filed December 1, 1997 which is a CIP of 08/994,426 filed December 19, 1997 which is a CIP of 08/920,116 filed August 26, 1997 which is a CIP of 08/822,709 filed March 21, 1997.
- 2) The inventive entities be corrected to correct the name of the fifth inventor, from "O'SHEA DEIRDRE" to "DEIRDRE O'SHEA".

Each of these requested corrections has been indicated in red on an enclosed copy of the official Filing Receipt.

In support of this request, applicants have enclosed a copy of the patent application transmittal letter filed with the original application on March 22, 1999 , which clearly indicates the correct data requested above. Please issue a corrected Filing Receipt in due course.

April 30, 1999
Date

Respectfully submitted,



Dean Alderucci
Attorney for Applicants
PTO Reg. No. 40,484
Walker Digital Corporation
Five High Ridge Park
Stamford, CT 06905
(203) 705-3006
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GROUP 2700

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/274,281 | 03/22/99 | 2761 | \$1,172.00 | WD2-99-006 | 25 | 69 | 12 |

DEAN ALDERUCCI
WALKER DIGITAL CORPORATION
FIVE HIGH RIDGE PARK
STAMFORD CT 06905-1326



RECEIVED
99 MAY 17
GROUP 2

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

JAY S. WALKER, RIDGEFIELD, CT; DANIEL E. TEDESCO, NEW CANAAN, CT; STEPHEN C. TULLEY, STAMFORD, CT; JOHN M. PACKES, HAWTHORNE, NY; O'SHEA DEIRDRE, NEW YORK, NY; KEITH BEMER, NEW YORK, NY; JAMES A. JORASCH, STAMFORD, CT; DEAN P. ALDERUCCI, RIDGEFIELD, CT; MAGDALENA MIK, GREENWICH, CT.

→ DEIRDRE O'SHEA

CONTINUING DATA AS CLAIMED BY APPLICANT-

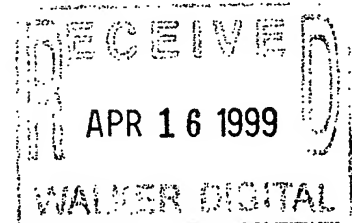
| | | |
|------------------------------|-----------------------|---------------------|
| THIS APPLN IS A CIP OF | 09/219,267 | 12/23/98 |
| WHICH IS A CON OF | 08/943,483 | 10/03/97 |
| WHICH IS A CON OF | 08/923,683 | 09/04/97 |
| WHICH IS A CON OF | 08/889,319 | 07/08/97 |
| WHICH IS A CON OF | 09/100,684 | 06/19/98 |
| WHICH IS A CON OF | 08/982,149 | 12/01/97 |
| WHICH IS A CON OF | 08/994,426 | 12/19/97 |
| WHICH IS A CON OF | 08/920,116 | 08/26/97 |
| WHICH IS A CON OF | 08/822,709 | 03/21/97 |

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IF REQUIRED, FOREIGN FILING LICENSE GRANTED 04/13/99 ** SMALL ENTITY **
TITLE

METHOD AND APPARATUS FOR PROVIDING CROSS-BENEFITS VIA A CENTRAL
AUTHORITY

PRELIMINARY CLASS: 705



DATA ENTRY BY: WYATT, DEBRA

TEAM: 06 DATE: 04/13/99

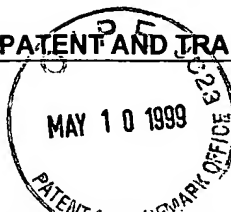


Docket No.: WD2-99-006

Total No. of Pages in this Submission:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231



APPLICATION TRANSMITTAL LETTER

Transmitted herewith for filing under 35 U.S.C. §111(a) and 37 C.F.R. §1.53(b) is a utility patent application of:

Inventor(s): Jay S. WALKER, Daniel E. TEDESCO, Stephen TULLEY, John M. PACKES, Jr.,
Dierdre O'SHEA, Keith BEMER, James A. JORASCH, Dean P. ALDERUCCI, Magdalena
MIK

for: METHOD AND APPARATUS FOR PROVIDING CROSS-BENEFITS VIA A CENTRAL AUTHORITY

- ~~☒~~ This application is a:
- ☐ CONTINUATION
- ~~☒~~ CONTINUATION-IN-PART
- ☐ DIVISIONAL

of prior application no(s): 09/219,267 filed December 23, 1998 which is a CIP of
08/943,483 filed October 3, 1997 which is a CIP of 08/923,683 filed September 4, 1997 which is a
CIP of 08/889,319 filed July 8, 1997 which is a CIP of 5,794,207 issued August 11, 1998 which is
a CIP of 09/100,684 filed June 19, 1998 which is a CIP of 08/982,149 filed December 1, 1997
which is a CIP of 08/994,426 filed December 19, 1997 which is a CIP of 08/920,116 filed August
26, 1997 which is a CIP of 08/822,709 filed March 21, 1997.

- ☐ With respect to the inventorship of the co-pending parent application, from which this application claims benefit under 35 U.S.C. § 120, the inventor(s) in this application is (are) less than those named in the co-pending parent application and the following inventor(s) should be deleted from this application:

Inventor(s) _____

Papers Enclosed:

Enclosed are:

| | |
|---|---|
| X | 52 Page Application, including 18 pages of claims and 1 page of Abstract |
| X | 25 Sheets of formal drawings/Figs. 1-22 |
| X | Express Mail Certificate |
| X | An Assignment of the Invention to Walker Digital Corporation with Recordation Cover Sheet |
| X | Copy of Verified Statement Claiming Small Entity Status (Small Business Concern) |
| X | Information Disclosure Statement, Form PTO-1449 and Copies of references |
| X | Copy of Combined Declaration and Power of Attorney |
| | Other: |
| | |

Fee Calculation:

The filing fee has been calculated as shown below:

| | (Col. 1) | (Col. 2) | SMALL ENTITY | | | LARGE ENTITY | |
|---|-----------|-----------|--------------|--------|----|--------------|-------|
| FOR: | NO. FILED | NO. EXTRA | RATE | FEE | OR | RATE | FEE |
| BASIC FEE | | | | \$380 | OR | | \$760 |
| TOTAL CLAIMS | 69 - 20 = | 49 | X \$9 = | \$441 | OR | X \$18 = | \$0 |
| INDEPENDENT CLAIMS | 12 - 3 = | 9 | X \$39 = | \$351 | OR | X \$78 = | \$0 |
| MULTIPLE DEPENDENT CLAIMS PRESENTED: 0 | | | X \$130 = | \$ | OR | X \$260 = | \$0 |
| *If the difference in Col. 1 is less than zero, enter "0" in Col. 2 | | | TOTAL | \$1172 | OR | TOTAL | \$0 |

Method of Payment:

- ☒ Please charge \$ 1172.00 to Deposit Account No. 50-0271. A duplicate copy of this paper is enclosed.
- ☒ The Assistant Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.16 or § 1.17 which may be required during the pendency of this application, or to credit any overpayment, to Deposit Account No. 50-0271.

Address for Correspondence:


- ☒ Please address all future communications to:

Dean Alderucci
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Stamford, Connecticut 06905-1326
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Fax (203) 595-8266

Respectfully submitted,
Walker Digital Corporation

Dated: March 22, 1999

By


Dean Alderucci
Reg. No. 40,484
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